

12/01/2004

PATENT APPLICATION
PTO/SB/08A MODIFIED

Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/003,350
		Filing Date	November 2, 2001
		First Named Inventor	Joern Ostermann et al.
		Group Art Unit	2644-2655
		Examiner Name	Unknown
Sheet 1 of 2	Attorney Docket Number	2000-0600B	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.†	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code* (if known)			
UR		US 6,453,294		DUTTA et al.	09-2002	
UR		US 5,640,590		LUTHER, Willis J.	06-1997	
UR		US 5,555,343		LUTHER, Willis J.	09-1996	
UR		US 6,539,354		SUTTON et al.	03-2003	
UR		US 5,546,500		LYBERG, Bertil	08-1996	
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UR		US 5,657,426		WATERS et al.	08-1997	
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UR		US 2001/0019330	A1	BICKMORE et al.	09-2001	
UR		US 2003/0046160		PAZ-PUJALT et al.	03-2003	

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		Group Art Unit	2644 2655
		Examiner Name	Unknown
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		Attorney Docket Number	2000-0600B

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.*	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume/issue number(s), publisher, city and/or country where published.	T
MR	1	David Kurlander, Tim Skelly, David Salesin. "Comic Chat", Proceedings of the 23 rd Annual Conference on Computer Graphics and Interactive Techniques, August 1996	<input type="checkbox"/>
MR	2	Pollack, "Happy in the East or Smiling in the West". New York Times, 12 August 1996.	<input type="checkbox"/>
MR	3	Pelachaud et al., "Generating Facial Expressions for Speech". Cognitive Science, Jan. 3, 1996. Vol. 20, no. 1, pp. 1-46.	<input type="checkbox"/>
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	6		<input type="checkbox"/>

Examiner Signature		Date Considered	7/14/05
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

of

Application Number 10/003,350
 Filing Date November 2, 2001
 First Named Inventor Joern Ostermann
 Group Art Unit 2655
 Examiner Name Minerva Rivero
 Attorney Docket Number 2000-0600B

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
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UR		US 6,542,936		MAYLE et al.	04-2003	
UR		US 2002/0109680	A1	ORBANES et al.	08-2002	
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UR		US 6,230,111		MIZOKAWA, TAKASHI	05-2001	
UR		US 5,420,801		DOCKTER et al.	05-1995	

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MP	1	EDWARDS, KEITH W. "The Design and Implementation of the MONTAGE Multimedia Mail System". April 1991, IEEE Conference Proceedings of TRICOMM '91, Pages 47-57	<input type="checkbox"/>
MP	2	MING OUHYOUNG, I-CHEN LIN, DAVID S.D. LEE. "Web-Enabled Speech Driven Facial Animation". Proc. of ICAT'99 (International Conference on Artificial Reality and Tele-existence), pp. 23-28, Conference held on Dec. 16-18 1999, Tokyo, Japan.	<input type="checkbox"/>

Examiner
Signature

Min Rivero

Date
Considered

7/14/05

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¹ Unique citation designation number ² Applicant is to place a check mark here if English language translation is attached.
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2/23/2005

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		Filing Date	November 2, 2001
		First Named Inventor	Joern Ostermann
		Group Art Unit	2635
		Examiner Name	Minerva Rivero
Sheet	1	of	1
		Attorney Docket Number	2000-0600B

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		Number	Kind Code* (If known)			
UR		US 6,460,075	B2	KRUEGER et al.	10-2002	
UR		US 6,684,901	B1	HARVEY et al.	08-2004	
UR		US 6,496,868	B2	KRUEGER et al.	12-2002	
UR		US 6,680,934	B1	CAIN, BRADLEY	01-2004	
UR		US 6,631,399	B1	STANCZAK et al.	12-2002	
UR		US 6,665,860	BA	DeSANTIS et al.	12-2003	

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Examiner Signature	<i>Min Rivero</i>				Date Considered	7/14/05		

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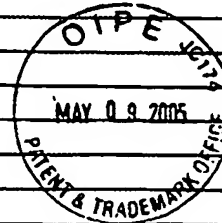
Substitute for form 1449A/PTO

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Application Number	10/003,350
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First Named Inventor	Joern Ostermann
Group Art Unit	2655
Examiner Name	Minerva Rivero
Attorney Docket Number	2001-0600B



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		Number	Kind Code ² (if known)			
MR		US-6,466,213		Bickmore, et al.	10-2002	
MR		US-6,018,744		Mamiya et al.	01-2000	
MR		US-5,537,662		Sato, et al.	07-1996	
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MR		US-6,147,692		Shaw, et al	11-2000	
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Examiner Signature					Date Considered			

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

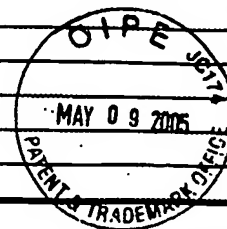
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ³
MR	1	H. NOOT, ZS. M. RUTKAY, "Chartoon 20.0 Manual, January 31. 2000	<input type="checkbox"/>
MR	2	LIJUN YIN, A. BASU; "MPEG4 face modeling using fiducial points", IEEE; Image Processing, 1997. Proceedings., International Conference on, Volume: 1, 26-29. 1997	

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		Number	Kind Code‡ (if known)			
NR		US-6,476,815		Ando, Tsutomu	11-2002	
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NR		US-5,995,639		Kado, et al.	11-1999	
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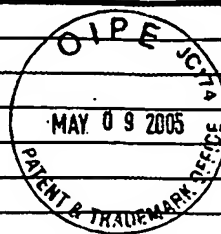
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NR	3	BICKMORE, ET AL., "Animated Autonomous Personal Representatives", ACM, International Conference on Autonomous Agents, Proceedings of the Second International Conference on Autonomous Agents, pgs 8-15, 1998	<input type="checkbox"/>

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MR	4	THORISSON, KRISTINN R. "ToonFace: A System for Creating and Animating Interactive Cartoon Faces." MIT Media Laboratory Learning and Common Sense Section Technical Report, pp. 96-101, Apr. 1996		
MR	5	DAVID KURLANDER, TIM SKELLY, DAVID SALESIN, "Comic Chat", Proceedings of the 23rd Annual Conference on Computer Graphics and Interactive Techniques, August 1996		
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